

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of

Hidenori KAWATA

Group Art Unit: 2871

Application No.: 09/922,859

Examiner: M. Qi

Filed: August 7, 2001

Docket No.: 110097

For: ELECTRO-OPTICAL APPARATUS, ELECTRONIC DEVICE, SUBSTRATE FOR USE
IN AN ELECTRO-OPTICAL APPARATUS, METHOD OF PRODUCING A SUBSTRATE
FOR USE IN AN ELECTRO-OPTICAL APPARATUS, AND LIGHT SHIELDING FILM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance or other action that closes prosecution (e.g., Quayle Action).
- ☒ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☒ 2. References 1 and 2 were cited in a counterpart foreign application. A copy of the Taiwanese Examination Result is attached for the Examiner's information.

Respectfully submitted,

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Michael Britton
Registration No. 47,260

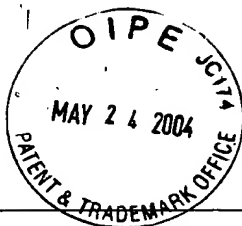
JAO:MB/al

Date: May 24, 2004

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Sheet 1 of 1

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| Form PTO-1449 (REV. 8-83) | | US Dept. of Commerce PATENT & TRADEMARK OFFICE | | ATTY DOCKET NO. 110097 | | APPLICATION NO. 09/922,859 | |
| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | APPLICANT Hidenori KAWATA | | | |
| | | | | FILING DATE August 7, 2001 | | GROUP 2871 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | |
| | 1 | 5,999,236 | 12/07/1999 | Nakajima et al. | | | |
| | 2 | 5,510,916 | 04/23/1996 | Takahashi | | | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
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| Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

Date: May 24, 2004